



## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/715,989	11/17/2000	Tatsushi Noda	SONYJP072CONT

CONFIRMATION NO. 6679

000530  
LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK  
600 SOUTH AVENUE WEST  
WESTFIELD, NJ 07090

## FORMALITIES LETTER



\*OC000000005948720\*

Date Mailed: 04/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

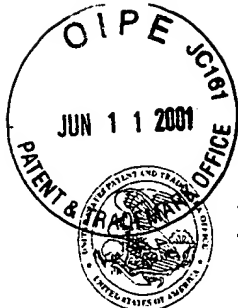
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/13/2001 SDENBOB1 00000103 121095 09715989

01 FC:105 130.00 CH



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/715,989	11/17/2000	2661	790	SONYJP072CONT	8	12	4

CONFIRMATION NO. 6679

000530  
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KRUMHOLZ & MENTLIK  
600 SOUTH AVENUE WEST  
WESTFIELD, NJ 07090

## FILING RECEIPT



\*OC000000005948719\*

Date Mailed: 04/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Tatsushi Noda, Saitama, JAPAN;  
Hiroshi Adachi, Chiba, JAPAN;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF PCT/JP99/02654 05/20/1999

## Foreign Applications

JAPAN P10-138296 05/20/1998

If Required, Foreign Filing License Granted 04/08/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

## Title

Separating device and method and signal receiving device and method

RECEIVED

APR 11 2001

LDLK&amp;M

Preliminary Class

370

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Data entry by : TYSON, LASHONNAH

Team : 3600

Date: 04/09/2001



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



PATENT  
SONYJP 3.3-072 CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of :  
Tatsushi NODA, et al. :  
 : Group Art Unit: 2661  
Application No. 09/715,989 :  
 : Examiner:  
Filed: November 17, 2000 :  
 : Date: June 8, 2001  
For: Separating Device And Method :  
And Signal Receiving Device :  
And Method :

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

COMMUNICATION

Sir:


Enclosed for filing please find a Declaration and Power of Attorney in connection with the above-identified application. Please charge Deposit Account No. 12-1095 in the amount of \$130.00 to cover the required fee. Also enclosed is a copy of PTO form entitled "NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION."

Enclosed herewith is a certified copy of Japanese Patent Application No. P10-138296, from which priority of May 20, 1998 is claimed with regard to the above-identified patent application.

If the Examiner has any questions concerning this application, he or she is requested to call applicants' attorney at (908) 654-5000. If any additional fees are required by the present Communication, the Examiner is hereby authorized to charge them to our Deposit Account No. 12-1095.

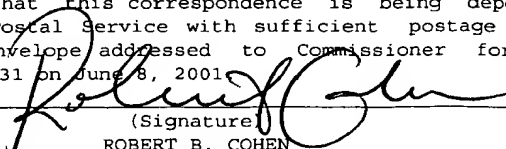
Respectfully submitted,

LENER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP

  
ROBERT B. COHEN  
Reg. No. 32,768

600 South Avenue West  
Westfield, NJ 07090  
Telephone: (908) 654-5000  
Facsimile: (908) 654-7866

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on June 8, 2001.

  
(Signature)

ROBERT B. COHEN

Typed or Printed Name of Person Signing Certificate



LAW OFFICES

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June 8, 2001

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Washington, D.C. 20231

Re: Our File: SONYJP 3.3-072 CONT  
Appln. No. 09/715,989  
Filing Date: November 17, 2000  
Group Art Unit: 2661

Dear Sir:

We are enclosing herewith a copy of the filing receipt for the above-identified patent application.

Please note that the third (3<sup>rd</sup>) applicant has inadvertently been omitted from the filing receipt. Therefore, please INSERT the third applicant to read --YOSUKE YAMAMOTO, Tokyo, Japan--.

Kindly issue a corrected filing receipt for the above-identified application as soon as possible.

Sincerely yours,

LEARNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP

ROBERT B. COHEN  
Reg. No. 32,768

RBC:ls  
Enclosure  
308256\_1.DOC